

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				Attorney Docket Number 5670-7DV		Serial No. 09/234,083 To be assigned	
				Applicants: Tsun, et al.			
				Filing Date : 1/19/99 <i>Concurrently herewith</i>		Group 2756	

U. S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
GO <div style="border-left: 1px solid black; height: 100px; margin-left: 10px;"></div>	1	4,545,011	10/1/85	Lyon et al.			
	2	5,049,873	9/17/91	Robins et al.			
	3	5,107,450	4/21/92	Lawrenz			
	4	5,257,393	10/26/93	Miller			
	5	5,634,009	5/27/97	Iddon et al.			
	6	5,572,640	11/5/96	Schettler			
	7	5,706,436	1/6/98	Lewis et al.			
	8	5,732,213	3/24/98	Gessel et al.			
	9	5,764,915	6/9/98	Heimsoth et al.			
GO	10	5,809,286	9/15/98	McLain, Jr. et al.			

FOREIGN PATENT DOCUMENTS							
Document Number	Date	Country	Class	Subclass	Translation Yes No		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
GO <div style="border-left: 1px solid black; height: 100px; margin-left: 10px;"></div>	11	Butterfield, "System Performance Monitor/2 Reference," International Business Machines Corporation (1991)
	12	Brochure, "The DA-30® family of internetwork analyzers", Wandel & Goltermann (1994)
	13	Brochure, "DA-30C benchmarking Capabilities", Wandel & Goltermann (1995)
	14	Brochure, "Vital Signs VisionNet", BlueLine Software, Inc., including inserts "LAN Agent", "VTAM Agent", "NCPL Agent" (1995)
	15	Brochure, "Vital Signs VisionNet (1995)"
	16	Brochure, "SmartBits: Switch testing in its simplest form...", Netcom Systems, Inc. (1995)
	17	Brochure, "EconNET™: Networked Applications Performance Management", Compuware Corporation (1995)
	18	Brochure, "Hot off the Shelf: Application Management, Data Communications (January 1996)
GO	19	Brochure, "10 Reasons Why You Need an Applications View of Your Network," Compuware Corporation (1/9/96)

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George L. O'Pie

DATE CONSIDERED

8/17/05

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		Attorney Docket Number 5670-7DV	Serial No. 09/234,085 <i>To be assigned</i>
		Applicants: Tsun, et al.	
		Filing Date : <i>1/19/99</i> <i>Concurrently herewith</i>	Group 2756
<i>GO</i>	20	Brochure, "Network General Corporation: Products and Services", Network General Corporation (1995)	
	21	Brochure, "ProView: Network Performance Management Systems", Network Telemetrics, Inc. (1995)	
	22	Brochure, "Managing the User Environment across Integrated SNA and IP Networks", Network Telemetrics, Inc. (1995)	
	23	Brochure, "Using Performance Baselines to Manage Network Service Levels", Williamson, W., Network Telemetrics, Inc. (1995)	
	24	Brochure, "Standard Based Distributed Diagnostics for Enterprise Networks", Frontier Software Development, Inc. (September 1995)	
	25	Brochure, "QualityWorks – The most complete client/server testing solution you can buy", Segue Software Products (1996)	
	26	Brochure, "LANQuest: Net/WRx", LANQuest (9/12/95)	
	27	Brochure, "NETBENCH(R) 3.01 FREQUENTLY ASKED QUESTIONS", Ziff-Davis Benchmark Operation	
	28	Brochure, "EcoNET: The View from the Top, Hurwitz Consulting Group, Inc. (1995)	
	29	Brochure, "Visual OnRamp™ Managed Internet Access Device" (1/96)	
	30	Brochure, "FirstSense"	
	31	Brochure "NextPoint"	
	32	Brochure, "NextPoint Frame Relay™"	
	33	Brochure, "VeriServ™," Response Networks, Inc.	
	34	Brochure, "Service Management Architecture Product Overview," Jyra Research, Inc.	
	35	Brochure, "Optimal Application Expert™" Optical Networks Corp. (1997)	
<i>GO</i>	36	Article, Sobel, Ken; "Compuware's EcoSCOPE"; Hurwitz Group, Inc. (9/97)	

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LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				Applicants: Tsun et al.			
				Filing Date: <i>Concurrent</i> <i>January 10, 1999</i>		Group 2126	
U. S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
<i>Go</i> <i>Go</i>	1.	5,655,081	8/5/97	Bonnell et al.	395	200.32	
	2.	5,829,001	10/27/98	Li et al.	707	10	
	3.	5,978,594	11/2/99	Bonnell et al.	395	837	
	4.	5,986,653	11/16/99	Phathayakorn et al.	345	348	
	5.	5,999,178	12/7/99	Hwang et al.	345	348	
	6.	6,078,324	6/20/00	Phathayakorn et al.	345	348	
FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Subclass	Translation Yes No	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							

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Substitute form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	To Be Assigned	
			Filing Date	Concurrently Herewith	
			First Named Inventor	William Tsun	
			Group Art Unit	Unknown	
			Examiner Name	Unknown	
Sheet	1	of	1	Attorney Docket Number	5670-7DV

U.S. PATENTS AND PATENT PUBLICATIONS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
GO	1.	US-6,223,344		Gerard et al.	4/24/2001
	2.	US-6,125,366		Bernstein et al.	9/26/2000
	3.	US-5,933,646		Hendrickson et al.	8/3/1999
	4.	US-5,931,919		Thomas et al.	8/3/1999
	5.	US-5,734,898		He	3/31/1998
	6.	US-5,765,174		Bishop	6/9/1998
	7.	US-5,615,362		Jensen et al.	3/25/1995
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			

OTHER NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T

Examiner Signature	George L. O'Pie	Date Considered	8/17/05
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